

Chapter 20

Miscellaneous Securities: Standard listing



20.5 Disclosures

20.5.1 **R** An issuer must submit to the FCA a copy of any document required by ■ LR 20.5.2 R to ■ LR 20.5.3 R at the same time as the document is issued, by uploading it to the *national storage mechanism*.

20.5.2 **R** An issuer must notify a RIS of all notices to holders of *listed miscellaneous securities* no later than the date of despatch or publication.

Underlying securities

20.5.3 **R** An issuer must notify a RIS of any adjustment or modification it makes to a *miscellaneous security* as a result of any change to a *security* over which the *listed miscellaneous security* carries a right to buy or subscribe.

Suspension of listing

20.5.4 **R** An issuer must inform the FCA immediately if it becomes aware that any *security* over which the *listed miscellaneous security* carries a right to buy or subscribe that is listed or traded outside the *United Kingdom* has been suspended.

20.5.5 **G** ■ LR 5.1.2 G (7) and ■ LR 5.1.2 G (8) and ■ LR 5.4.6 G may be of relevance to an issuer of *miscellaneous securities*.