

## Chapter 20

# Miscellaneous Securities: Standard listing



20.5 Disclosures

- 20.5.1

R

An *issuer* must submit to the *FCA* a copy of any document required by [LR 20.5.2 R](#) to [LR 20.5.3 R](#) at the same time as the document is issued, by uploading it to the *national storage mechanism*.
- 20.5.2

R

An *issuer* must notify a *RIS* of all notices to holders of *listed miscellaneous securities* no later than the date of despatch or publication.
- 20.5.3

R

**Underlying securities**An *issuer* must notify a *RIS* of any adjustment or modification it makes to a *miscellaneous security* as a result of any change to a *security* over which the *listed miscellaneous security* carries a right to buy or subscribe.
- 20.5.4

R

**Suspension of listing**An *issuer* must inform the *FCA* immediately if it becomes aware that any *security* over which the *listed miscellaneous security* carries a right to buy or subscribe that is listed or traded outside the *United Kingdom* has been suspended.
- 20.5.5

G

[LR 5.1.2 G \(7\)](#) and [LR 5.1.2 G \(8\)](#) and [LR 5.4.6 G](#) may be of relevance to an *issuer of miscellaneous securities*.