

Chapter 19

Securitized derivatives: Standard listing



19.5 Disclosures

19.5.1 **R** An issuer must submit to the FCA a copy of any document required by **LR 19.5.2 R** to **LR 19.5.10 R** at the same time as the document is issued, by uploading it to the *national storage mechanism*.

19.5.2 **R** [deleted]

19.5.3 **R** [deleted]

19.5.4 **R** [deleted]

19.5.5 **R** [deleted]

19.5.6 **R** [deleted]

19.5.7 **R** An issuer must notify a *RIS* of all notices to holders of *listed securitised derivatives* no later than the date of despatch or publication.

19.5.8 **R** [deleted]

Underlying instruments

19.5.9 **R** An issuer must notify a *RIS* of any adjustment or modification it makes to the *securitised derivative* as a result of any change in or to the *underlying instrument* including details of the underlying event that necessitated the adjustment or modification.

Suspension of listing

19.5.10 **R** An issuer must inform the FCA immediately if it becomes aware that an *underlying instrument* that is listed or traded outside the *United Kingdom* has been suspended.

Note: **LR 5.1.2G (7) and (8)** and **LR 5.4.6 G** are of relevance to an issuer of *securitised derivatives*.