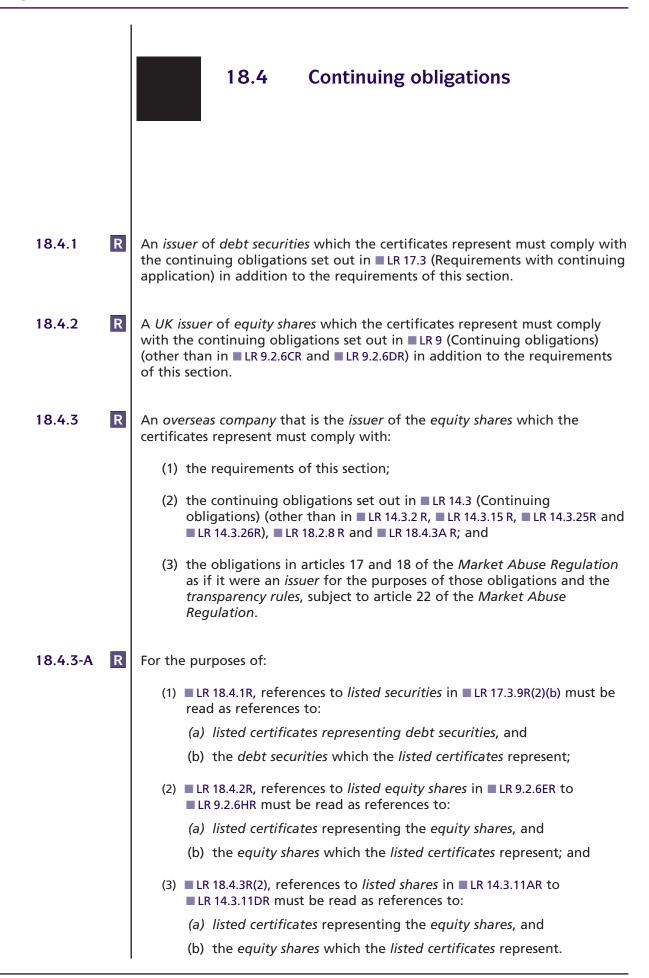
**Listing Rules** 

## Chapter 18

## Certificates representing certain securities: Standard listing



		Annual accounts continuing obligations
18.4.3A	R	(1) An issuer within ■ LR 18.4.3 R must publish its annual report and annual accounts as soon as possible after they have been approved.
		(2) An issuer within ■ LR 18.4.3 R must approve and publish its annual report and accounts within six months of the end of the financial period to which they relate.
		(3) The annual report and accounts must:
		<ul> <li>(a) have been prepared in accordance with the <i>issuer</i>'s national law and, in all material respects, with national accounting standards or UK-adopted IFRS; and</li> </ul>
		(b) have been independently audited and reported on, in accordance with:
		(i) the auditing standards applicable in the United Kingdom; or
		(ii) an equivalent auditing standard.
18.4.3B	R	For the purposes of $\blacksquare$ LR 18.4.3R (2), a reference to complying with the obligations in $\blacksquare$ LR 14.3 is to be read as a reference to complying with those obligations in respect of the certificates.
		Change of depositary
18.4.4	R	Prior to any change of the <i>depositary</i> of <i>certificates representing certain</i> securities, the new <i>depositary</i> must satisfy the FCA that it meets the requirements of $\blacksquare$ LR 18.2.11 R to $\blacksquare$ LR 18.2.14 R.
		Notification of change of depositary
18.4.5	R	(1) An issuer of securities represented by listed certificates representing certain securities must notify a RIS of any change of depositary.
		(2) The notification required by paragraph (1) must be made as soon as possible, and in any event by 7.30 a.m. on the <i>business day</i> following the change of <i>depositary</i> , and contain the following information:
		<ul> <li>(a) the name, registered office and principal administrative establishment if different from the registered office of the depositary;</li> </ul>
		<ul> <li>(b) the date of incorporation and length of life of the <i>depositary</i>, except where indefinite;</li> </ul>
		(c) the legislation under which the <i>depositary</i> operates and the legal form which it has adopted under the legislation; and
		(d) any changes to the information regarding the certificates representing certain securities.
		Documents of title
18.4.6	R	An <i>issuer</i> must comply with the requirements in $\blacksquare$ LR 9.5.15 R (Temporary documents of title) and $\blacksquare$ LR 9.5.16 R (Definitive documents of title) so far as relevant to <i>certificates representing equity securities</i> .

## LR 18 : Certificates representing certain securities: Standard listing

		Compliance with Transparency Rules
18.4.7	G	An <i>issuer</i> , whose <i>securities</i> are admitted to trading on a <i>regulated market</i> , should consider its obligations under <b>DTR 4</b> (Periodic financial reporting), <b>DTR 5</b> (Vote holder and issuer notification rules) and <b>DTR 6</b> (Access to information).
18.4.8	R	[18.4.8 to follow]
18.4.9	R	An <i>issuer</i> that is not already required to comply with the transparency rules must comply with <b>DTR 6.3</b> as if it were an <i>issuer</i> for the purposes of the transparency rules.