

Chapter 15

Closed-Ended Investment Funds: Premium listing



15.3 Listing applications and procedures

15.3.1 **G** An *applicant* is required to comply with ■ LR 3 (Listing applications).

Sponsors

15.3.2 **G** An *applicant* that is seeking admission of its *equity shares* is required to retain a *sponsor* in accordance with ■ LR 8 (Sponsors).

15.3.3 **R** An *applicant* must appoint a *sponsor* on each occasion that it makes an application for *admission of equity shares* which requires the production of *listing particulars*.

Multi-class fund or umbrella fund

15.3.4 **R** An application for the *listing of securities* of a multi-class fund or umbrella fund must provide details of the various classes or designations of *securities* intended to be issued by the *applicant*.