**Listing Rules** 

## Chapter 12

## Dealing in own securities and treasury shares: Premium listing

## LR 12 : Dealing in own securities and treasury shares: Premium listing

		12.6 Treasury shares
12.6.1	R	[deleted]
12.6.2	R	[deleted]
12.6.3	R	Notification of capitalisation issues and of sales, transfers and cancellations of treasury shares. If by virtue of its holding <i>treasury shares</i> , a <i>listed company</i> is allotted <i>shares</i> as part of a capitalisation issue, the <i>company</i> must notify a <i>RIS</i> as soon as possible and in any event by no later than 7:30 a.m. on the <i>business day</i> following the calendar <i>day</i> on which allotment occurred of the following information:
		(1) the date of the allotment;
		(2) the number of <i>shares</i> allotted;
		(3) a statement as to what number of <i>shares</i> allotted have been cancelled and what number is being held as <i>treasury shares</i> ; and
		(4) where shares allotted are being held as treasury shares, a statement of:
		<ul> <li>(a) the total number of <i>treasury shares</i> of each <i>class</i> held by the company following the allotment; and</li> </ul>
		(b) the number of shares of each class that the company has in issue less the total number of treasury shares of each class held by the company following the allotment.
12.6.4	R	Any sale for cash, transfer for the purposes of or pursuant to an <i>employees</i> ' <i>share scheme</i> or cancellation of <i>treasury shares</i> that represents over 0.5% of the <i>listed company's share</i> capital must be notified to a <i>RIS</i> as soon as possible and in any event by no later than 7:30 a.m. on the <i>business day</i> following the calendar day on which the sale, transfer or cancellation occurred. The notification must include:
		(1) the date of the sale, transfer or cancellation;
		(2) the number of <i>shares</i> sold, transferred or cancelled;
		(3) the sale or transfer price for each of the highest and lowest prices paid, where relevant; and

- (4) a statement of:
  - (a) the total number of *treasury shares* of each *class* held by the *company* following the sale, transfer or cancellation; and
  - (b) the number of *shares* of each *class* that the *company* has in issue less the total number of *treasury shares* of each *class* held by the *company* following the sale, transfer or cancellation.