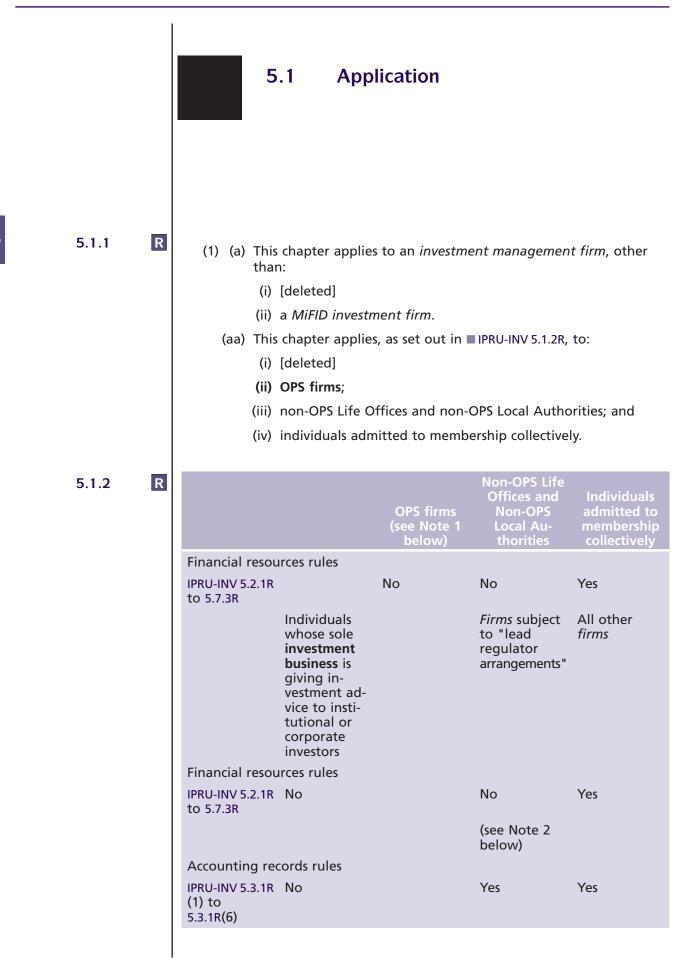
Interim Prudential sourcebook for investment businesses

Chapter 5

Financial resources

IPRU-INV 5 : Financial resources



	I	
		Non-OPS Life Offices and Individuals OPS firms Non-OPS admitted to (see Note 1 Local Au- membership below) thorities collectively
		Note 1. <i>Firms</i> are referred to the specific compliance reports for OPS firms required by Chapter 16 of the Supervision manual.
		Note 2. A <i>firm</i> subject to "lead regulator arrangements" whereby a body other than the <i>FCA</i> is responsible for its financial regulation shall comply with the corresponding financial resources rules and financial returns rules of that body, and a breach of such rules shall be treated as a breach of the rules of the <i>FCA</i> .
5.1.3	R	[deleted]
5.1.4	C	Interpretation The definitions in the glossary at ■ IPRU-INV 5 Appendix 1 apply to terms shown in bold type in this chapter (other than headings and titles). Where the term is italicised, the <i>FCA Handbook Glossary</i> definition applies.
5.1.5	R	Any reference in■ IPRU-INV 5 to the UK CRR is to the UK CRR in the form in which it stood at 31 December 2021.