

Chapter 13

Financial Resources Requirements for Personal Investment Firms



**13.3 FINANCIAL RESOURCES TEST 1 -
OWN FUNDS**

13.3.1 **R** [deleted]

13.3.1A **G** [deleted]

13.3.2 **R** [deleted]

13.3.2A **R** [deleted]

13.3.2B **G** [deleted]
[deleted]

Alternative to Financial Resources Test 1

13.3.3 **R** [deleted]

13.3.3A **R** [deleted]

13.3.3B **R** [deleted]