

General Provisions

Chapter 1

FCA approval and
emergencies

1.1 Application

[Note: ESMA has also issued guidelines under article 16(3) of the ESMA Regulation covering various topics relating to automated trading and direct electronic access. See www.fca.org.uk]

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- (1) This chapter applies to every *firm*. ■ GEN 1.3 (Emergency) also applies to an *unauthorised person* to whom a *rule* in the *Handbook* applies.
- (2) [deleted]
- (3) ■ GEN 1.2.2AR(1) also applies to a *registered person communicating a financial promotion* relating to one or more *qualifying cryptoassets* (in reliance on the exemption in article 73ZA of the *Financial Promotion Order*).
- (4) For the purpose of (3), reference in ■ GEN 1.2.2AR(1) to a *firm* include reference to a *registered person*.

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