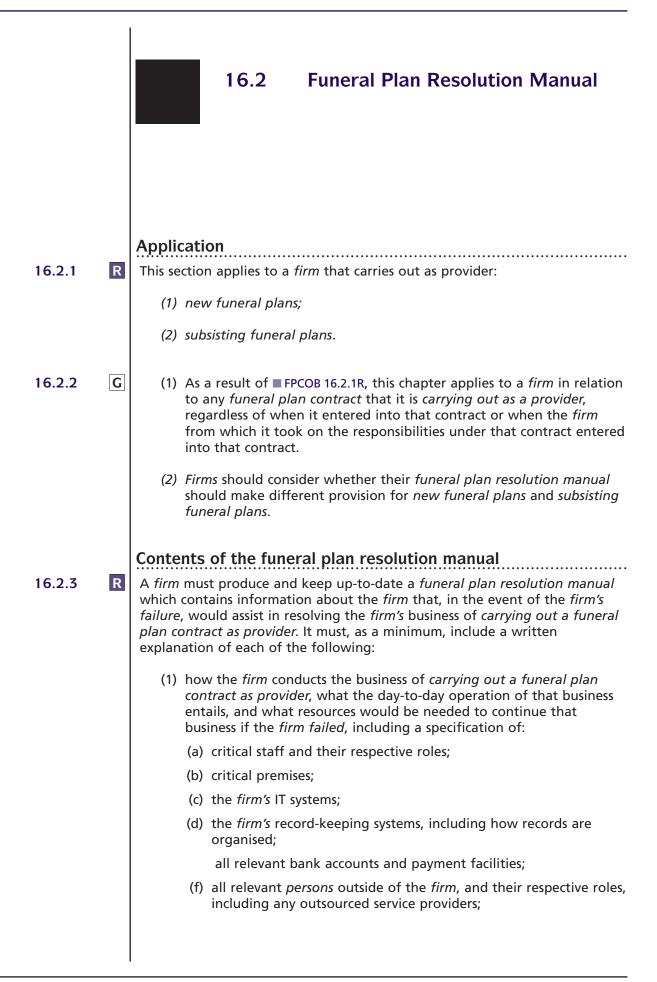
**Funeral Plan: Conduct of Business sourcebook** 

## Chapter 16

## Resolution requirements



## **FPCOB 16** : Resolution requirements

16.2.4

R

(g)	all relevant legal documentation, including trust deeds, insurance
	policies, and customer, service and supplier contracts, including
	any contracts with funeral directors; and

- (h) the *firm's group*, using a structure chart showing:
  - (i) the legal entities in the group;
  - (ii) the ownership structure of those entities; and
  - (iii) the jurisdiction of those entities;
- (2) any steps that would need to be implemented under any arrangements in place to ensure that:
  - *(i) funeral plan contracts* entered into by the *firm* will continue to be *carried out* by another *firm*; and
  - (ii) any payments will be paid to *customers* or *covered individuals* where the relevant *funeral plan contracts* will not continue to be *carried out* by the *firm* or another *firm*.
- (3) any terms in contracts that may need to be relied on to ensure the outcomes referred to in (2)(i) and (2)(ii);
- (4) how to access any record of each *funeral plan contract* facilitated by the *firm*, including where this is required under FPCOB 16.1.5R; and
- (5) how the *firm's* systems can produce the detail specified in
  FPCOB 9 Annex 3 (Plan Statement) for each *funeral plan contract* entered into by it.

## Requirement to make the funeral plan resolution manual available

A *firm* must put in place arrangements to ensure that its *funeral plan resolution manual* would be immediately available to:

- (1) an administrator, receiver, trustee, liquidator or analogous officer appointed in respect of the *firm* or any material part of its property;
- (2) the FCA, on request; and
- (3) the FSCS and any other *person* to whom the firm might want to provide it.