

# Appendix 1

## Unauthorised Mutuals Registration Fees Rules

### 1 Annex 4 Glossary of definitions

**R** In these rules, an expression in *italics* has the meaning given below:

Expression	Definition
<i>Act</i>	The Financial Services and Markets Act 2000.
<i>amendment to model rules</i>	(In Annex 1R) any number of changes to a single numbered rule and its sub-clauses (however described) represents a single amendment to model rules; the provision of information in respect of a name, an address, or a number, or any text which is added to a model rule in a space specifically provided in the model rule for the addition of such text, will not be regarded as an amendment to model rules.
<i>annual return</i>	The annual return required to be submitted to the FCA under s.43 of the Friendly Societies Act 1974 or s.39 of the Industrial and Provident Societies Act 1965.
<i>business day</i>	In rule 1.2.8R, any day which is not a Saturday or a Sunday, Christmas Day, Good Friday or a bank holiday in that part of the United Kingdom in which the registered society has its registered office.
<i>day</i>	A period of 24 hours beginning at midnight.
<i>FCA</i>	The Financial Conduct Authority.
<i>model rules</i>	A set of rules: <ol style="list-style-type: none"> <li>(a) which a sponsoring body has provided to the FCA;</li> <li>(b) in relation to which the sponsoring body has paid all relevant fees due under these rules; and</li> </ol>

	(c)	which complies with the provisions of the Industrial and Provident Societies Acts 1965 and 1967, the Friendly and Industrial and Provident Societies Act 1968 and the Friendly Societies Acts 1974 and 1992, as appropriate; or
	(d)	the Credit Unions Act 1979;
person	(a list of <i>model rules</i> which satisfy (a) and (b) and, in the <i>FCA's</i> view, satisfy (c), is available from the Mutual Societies Registration department at the <i>FCA</i> ).  (In accordance with the Interpretation Act 1978) any person, natural or legal, including a body of persons corporate or unincorporated.	
registered society	A society registered under the Industrial & Provident Societies Acts, the Credit Unions Act 1979, the Superannuation and Other Trust Funds (Validation) Act 1927, or the Friendly Societies Act 1974; which is not authorised for the purposes of section 31 of the Act.	
sponsoring body	A body which publishes, or which proposes to publish, <i>model rules</i> for <i>registered societies</i> .	
total assets	The figure shown in the annual return against the heading 'Total Assets' or, where there is no such heading, the value of the gross assets shown in the balance sheet of the firm.	