

Appendix 1

Unauthorised Mutuals Registration Fees Rules

1.3 Application Fees

General

- App 1.3.1** **R** A *person* who submits to the *FCA* a proposal for the registration of a society must pay to the *FCA*, in full and without deduction, the fee specified for the type of application under **Annex 1A**.
- App 1.3.2** **R** A **sponsoring body** wishing a set of rules to become **model rules** for the first time must pay to the *FCA*, in full and without deduction, the application fee specified in **FEES App 1 Annex 1A**.

Method of payment

- App 1.3.3** **R** Application fees must be paid by a method specified in **FEES 2.1.12R** unless **FEES 2.1.13R** applies.

Due dates

- App 1.3.4** **R** A *person* making an application or submitting a proposal for the registration of a society must pay the application fee on, or before, making the application.
- App 1.3.5** **R** A **sponsoring body** must pay the application fee for a new set of **model rules** on or before making the application.
- App 1.3.6** **G** The *FCA* may require the fee to be paid by the *person* making the application before the *FCA* undertakes any preliminary consideration of the proposed application or rules.

Refunds

- App 1.3.7** **G** The *FCA* will not refund application fees under any circumstances.
- App 1.3.8** **G** Paragraph 1.3.7G applies also in the case of applications that are not proceeded with where a fee has been paid in advance.