

**Bribery and corruption**

## Chapter 6

# Bribery and corruption

## 6.3 Further guidance

### 6.3.1

**G** *FCTR* contains the following additional material on bribery and corruption:

- **FCTR 9** summarises the findings of the *FSA*'s thematic review Anti-bribery and corruption in commercial insurance broking and includes guidance on:

Governance and management information (**FCTR 9.3.1G**)

Risk assessment and responses to significant bribery and corruption events (**FCTR 9.3.2G**)

Due diligence on third-party relationships (**FCTR 9.3.3G**)

Payment controls (**FCTR 9.3.4G**)

Staff recruitment and vetting (**FCTR 9.3.5G**)

Training and awareness (**FCTR 9.3.6G**)

Risk arising from remuneration structures (**FCTR 9.3.7G**)

Incident reporting (**FCTR 9.3.8G**)

The role of compliance and internal audit (**FCTR 9.3.9G**)

- **FCTR 13** summarises the findings of the *FSA*'s thematic review on Anti-bribery and corruption systems and controls in investment banks and includes guidance on:

Governance and management information (**FCTR 13.3.2G**)

Assessing bribery and corruption risk (**FCTR 13.3.3G**)

Policies and procedures (**FCTR 13.3.4G**)

Third party relationships and due diligence (**FCTR 13.3.5G**)

Payment controls (**FCTR 13.3.6G**)

Gifts and hospitality (**FCTR 13.3.7G**)

Staff recruitment and vetting (**FCTR 13.3.8G**)

Training and awareness (**FCTR 13.3.9G**)

Remuneration structures (**FCTR 13.3.10G**)

Incident reporting and management (**FCTR 13.3.11G**)