

Data security

Chapter 5

Data security

5.3.1

G

FCTR contains the following additional material on data security:

- ■ **FCTR 6** summarises the findings of the *FSA*'s thematic review of Data security in Financial Services and includes guidance on:
 - Governance (■ **FCTR 6.3.1G**)
 - Training and awareness (■ **FCTR 6.3.2G**)
 - Staff recruitment and vetting (■ **FCTR 6.3.3G**)
 - Controls – access rights (■ **FCTR 6.3.4G**)
 - Controls – passwords and user accounts (■ **FCTR 6.3.5G**)
 - Controls – monitoring access to customer data (■ **FCTR 6.3.6G**)
 - Controls – data back-up (■ **FCTR 6.3.7G**)
 - Controls – access to the internet and email (■ **FCTR 6.3.8G**)
 - Controls – key-logging devices (■ **FCTR 6.3.9G**)
 - Controls – laptop (■ **FCTR 6.3.10G**)
 - Controls – portable media including USB devices and CDs (■ **FCTR 6.3.11G**)
 - Physical security (■ **FCTR 6.3.12G**)
 - Disposal of customer data (■ **FCTR 6.3.13G**)
 - Managing third party suppliers (■ **FCTR 6.3.14G**)
 - Internal audit and compliance monitoring (■ **FCTR 6.3.15G**)
- ■ **FCTR 10** summarises the findings of the Small Firms Financial Crime Review, and contains guidance directed at small firms on:
 - Records (■ **FCTR 10.3.5G**)
 - Responsibilities and risk assessments (■ **FCTR 10.3.7G**)
 - Access to systems (■ **FCTR 10.3.8G**)
 - Outsourcing (■ **FCTR 10.3.9G**)
 - Physical controls (■ **FCTR 10.3.10G**)
 - Data disposal (■ **FCTR 10.3.11G**)
 - Data compromise incidents (■ **FCTR 10.3.12G**)