

Chapter 1

Purpose and application

1.2 General application

1.2.1 **R** [deleted]

1.2.2 **R** [deleted]

1.2.3 **G** The specific application of the *rules* and *guidance* in **ESG 2**, **ESG 4** and **ESG 5** is set out in **ESG 1A** and **ESG 3**.

1.2.4 **G** (1) The table at **ESG 1.2.4G(2)** provides a general overview as to how the *rules* in **ESG 2**, **ESG 4** and **ESG 5** apply to *firms*.

(2) This table belongs to **ESG 1.2.4G(1)**.

Type of firm	Applicable provisions
All <i>firms</i>	ESG 4.1.1R(1); ESG 4.3.1R
<i>Distributors</i>	ESG 4.1.1R(1); ESG 4.1.16R to ESG 4.1.19R; ESG 4.3.1R.
Asset managers	
A <i>firm</i> managing a <i>UK UCITS</i> or an <i>AIF</i> , excluding:	ESG 2 (except for ESG 2.3.5R to ESG 2.3.8R relating to <i>on-demand TCFD information</i>);
(a) a <i>firm</i> managing a <i>feeder fund</i> ; or	
(b) a <i>full-scope UK AIFM</i> or a <i>small authorised UK AIFM</i> managing an <i>unauthorised AIF</i> not listed on a <i>recognised investment exchange</i> ;	ESG 4 (except for ESG 4.1.16R to ESG 4.1.19R relating to <i>distributors</i>) only in relation to <i>UK UCITS</i> and <i>UK AIFs</i> ; ESG 5 (except for ESG 5.5.13R to ESG 5.5.15R relating to <i>on demand sustainability information</i>) only in relation to <i>UK UCITS</i> and <i>UK AIFs</i> .
A <i>firm</i> managing a <i>feeder fund</i>	ESG 4.1.2R to ESG 4.1.8R, ESG 4.1.13R and ESG 4.1.14R; ESG 4.3.1R to ESG 4.3.8R;

Type of firm	Applicable provisions
<p>A firm that is a <i>full-scope UK AIFM</i> or a <i>small authorised UK AIFM</i> managing an <i>unauthorised AIF</i> not listed on a <i>recognised investment exchange</i></p>	<p>ESG 5.6</p> <p>ESG 2 (except for ESG 2.3.1R to ESG 2.3.4R relating to a <i>public TCFD product report</i>);</p> <p>ESG 4 (except for ESG 4.1.16R to ESG 4.1.19R relating to <i>distributors</i>) only in relation to <i>UK AIFs</i>;</p> <p>ESG 5 (except in relation to the preparation of Part B of a <i>public product-level sustainability report</i>) only in relation to <i>UK AIFs</i>.</p>
<p>Asset owners</p> <p>A firm in table ESG 1A.1.1R(2), Part B</p>	<p>ESG 2 (except for ESG 2.3.5R to ESG 2.3.8R relating to <i>on-demand TCFD information</i>);</p> <p>ESG 4.1.1R(1);</p> <p>ESG 4.3.1R.</p>