Enforcement Guide

Chapter 9

Prohibition Orders and withdrawal of approval



9.7 Other powers that may be relevant when the FCA is considering whether to exercise its power to make a prohibition order

9.7.1

In appropriate cases, the FCA may take other action against an individual in addition to making a prohibition order and/or withdrawing its approval, including the use of its powers to: impose a financial penalty or issue a public censure; apply for an injunction to prevent dissipation of assets; stop any continuing misconduct; order restitution; apply for an insolvency order or an order against debt avoidance; and/or prosecute certain criminal offences.