

Chapter 3

Use of information gathering and investigation powers

3.5 Official listing investigations (section 97)

3.5.1

If the *FCA* has decided to carry out an investigation where there are circumstances suggesting that contraventions set out in section 97 may have happened, it will normally appoint investigators pursuant to that section. An investigator appointed under section 97 is treated under the *Act* as if they were appointed under section 167(1).