

Chapter 1

Introduction

1.3 Information gathering and publication

Information gathering

- 1.3.1 **R** [deleted]
- 1.3.2 **G** Telephone calls to and from the *FCA* may be recorded for regulatory purposes. The *FCA* may also require the *issuer, person discharging managerial responsibilities, connected person* or their advisers to provide information in writing.
- 1.3.3 **R** [deleted]
- 1.3.4 **R** [deleted]
- 1.3.5 **R** [deleted]
- ### Notification when a *RIS* is not open for business
- 1.3.6 **G** If an *issuer* is required to notify information to a *RIS* at a time when a *RIS* is not open for business, it may distribute the information as soon as possible to:
- (1) not less than two national newspapers in the *United Kingdom*;
 - (2) two newswire services operating in the *United Kingdom*; and
 - (3) a *RIS* for release as soon as it opens.
- 1.3.7 **G** The fact that a *RIS* is not open for business is not, in itself, sufficient grounds for delaying the disclosure or distribution of *inside information*.
- 1.3.8 **R** [deleted]