

Chapter 10

Appropriateness (for non-advised services) (non-MiFID and non-insurance-based investment products provisions)



10.6 When a firm need not assess appropriateness

10.6.1 **G** A *firm* need not assess appropriateness if it is receiving or transmitting an order in relation to which it has assessed suitability under **COBS 9** (Suitability (including basic advice)).

10.6.2 **G** [deleted]