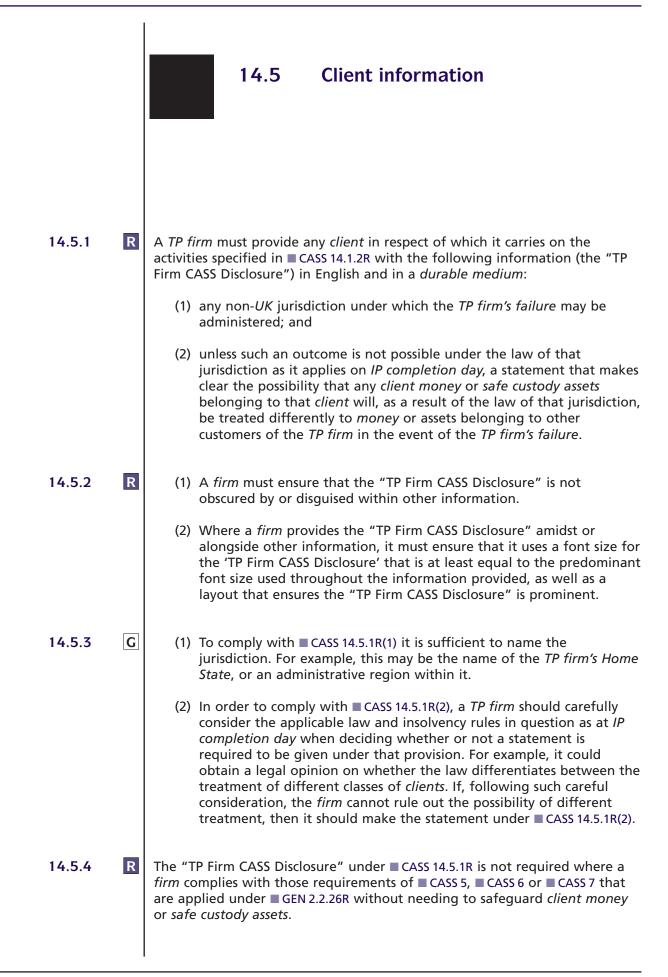
Temporary permissions regime – client assets rules

Chapter 14

Temporary permissions regime – client assets rules



CASS 14 : Temporary permissions regime – client assets rules

14.5.5 G Situations falling under CASS 14.5.4R include where, for example, the TP firm relies on: (1) ■ CASS 5.1.5R(1)(b) or (2); (2) CASS 7.10.6R; or (3) GEN 2.2.26R(3) or (4) and takes the approach set out in article 10.6.a, 10.6.b or 10.6.d of IDD. 14.5.6 R A TP firm must provide the "TP Firm CASS Disclosure" under CASS 14.5.1R to a client: (1) where it safeguards client money or safe custody assets for the client on IP completion day, on that date (unless it has taken steps before that date which would have complied with the requirements under ■ CASS 14.5.1R and ■ CASS 14.5.2R); or (2) otherwise, in good time before it safeguards *client money* or *custody* assets for the client.