Chapter 1

Application and general provisions



Application: electronic media and E-1.5 Commerce

Application to electronic media

- GEN 2.2.14 R (References to writing) has the effect that electronic media 1.5.1 may be used to make communications that are required by the Handbook to be "in writing" unless a contrary intention appears.
- 1.5.2 G For any electronic communication with a customer, a firm should:
 - (1) have in place appropriate arrangements, including contingency plans, to ensure the secure transmission and receipt of the communication; it should also be able to verify the authenticity and integrity of the communication; the arrangements should be proportionate and take into account the different levels of risk in a firm's business:
 - (2) be able to demonstrate that the customer wishes to communicate using this form of media; and
 - (3) if entering into an agreement, make it clear to the customer that a contractual relationship is created that has legal consequences.
- G 1.5.3 Firms should note that ■ GEN 2.2.14 R does not affect any other legal requirement that may apply in relation to the form or manner of executing a document or agreement.
- 1.5.4 G [deleted]

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