

Chapter 1

Application and general provisions



1.3 General application: where?

1.3.1 **G** The *rules* in ■ CASS 1.3 set out the maximum territorial scope of this sourcebook. Particular *rules* may have express territorial limitations.

UK establishments: general

1.3.2 **R** ■ CASS 1 to ■ CASS 13 apply to every *firm*, in relation to *regulated activities* carried on by it from an *establishment* in the *United Kingdom*.

1.3.2A **G** The territorial scope of ■ CASS 14 is set out at ■ CASS 14.1.6R.

1.3.3 **R** [deleted]

1.3.4 **R** [deleted]